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PATENT Customer No. 22,852 Attorney Docket No. 04329.3247-00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2823

Examiner: W. M. Brewster

Confirmation No.: 8935

ire Application of:

Katsuyuki Sekine et al.

Application No.: 10/785,074

Filed: February 25, 2004

For: SEMICONDUCTOR DEVICE AND

MANUFACTURING METHOD

THEREOF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement ("IDS") is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this IDS includes a certification as specified by Section 1.97(e) and is being filed in addition to the IDS filed on February 25, 2004.

Each document listed in this IDS was first cited in a Notification of Reasons for Rejection ("Notification") mailed January 31, 2006, from the Japanese Patent Office in a

counterpart foreign application, and this IDS is being filed within three months of the mailing date of that communication.

Copies of the listed foreign patent documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO/SB/08 and returning the form in the next communication from the Office.

In lieu of a statement of relevance or translation of the Japanese documents, an English translation of the Notification setting forth the relevance thereof is enclosed. A copy of the Notification is also enclosed for the Examiner's convenience. Applicants do not necessarily endorse the conclusions set forth in the Notification.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Application No. 10/386,421 Customer No. 22,852 Attorney Docket No. 04329.3013

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 13, 2006

Steven L. Ashburn Reg. No. 56,636

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|---|---|-------|---------|------------------------|-------------------|--|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO MAR 1 8 2006                  |   |       | 2006    | Complete if Known      |                   |  |
|   |   | MAR / | 18 mg B | Application Number     | 10/785,074        |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) |   |       |         | Filing Date            | February 25, 2004 |  |
|   |   |       |         | First Named Inventor   | Katsuyuki SEKINE  |  |
|   |   |       |         | Art Unit               | 2823              |  |
|   |   |       |         | Examiner Name          | W. M. Brewster    |  |
| Sheet   | 1 | of    | 1       | Attorney Docket Number | 04329.3247-00     |  |

|          | U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS |  |                                |                             |   |  |  |
|----------|---|--|--------------------------------|-----------------------------|---|--|--|
| Examiner | Cite  | Document Number                          | Issue or                       | Name of Patentee or         | Pages, Columns, Lines, Where                    |  |  |
| Initials | No.¹  | Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |  |  |
|          |   | US                                       |                                | <del></del> -               | *   |  |  |
|          |   | US-                                      |                                |                             |   |  |  |
|          |   | US-                                      | 1                              |                             |   |  |  |
|          |   | US-                                      |                                |                             |   |  |  |
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|          |   | US-                                      |                                |                             |   |  |  |
|          |   | US-                                      |                                |                             |   |  |  |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

|                      | FOREIGN PATENT DOCUMENTS |  |                                |  |  |                          |  |  |
|----------------------|--------------------------|--|--------------------------------|--|--|--------------------------|--|--|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear | Translation <sup>6</sup> |  |  |
|                      |                          | JP-2002-222941   | 08-09-2002                     |  |  |                          |  |  |
|                      |                          | JP-10-209449   | 08-07-1998                     |  |  |                          |  |  |
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| NON PATENT LITERATURE DOCUMENTS |                          |   |                          |  |
|---------------------------------|--------------------------|---|--------------------------|--|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation <sup>6</sup> |  |
|                                 |                          | Notification of Reasons for Rejection in Japanese Patent App. No. 2003-49611, mailed January 31, 2006 (4 pages).  | Yes                      |  |
|                                 |                          |   |                          |  |
|                                 |                          |   |                          |  |
|                                 |                          |   |                          |  |
|                                 |                          |   |                          |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.